



2459-1-003CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Ming Ming ZHOU, *et al.* PATENT NO.: 7,589,167  
SERIAL NO.: 09/784,553 EXAMINER: Zachariah LUCAS  
FILED: February 16, 2001 ART UNIT: 1648  
FOR: METHODS OF IDENTIFYING MODULATORS OF BROMODOMAINS

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to COMMISSIONER FOR PATENTS, P.O. BOX 1450, Alexandria, VA 22313-1450 on January 28, 2010.

Michele Hofherr  
(Name of Person Mailing.)

Michele Hofherr 1-28-10  
(Signature and Date)

COMMUNICATION

MAIL STOP: PETITIONS  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Dear Sir:

Applicants submit herewith a Petition to Correct Inventorship in an Issued Patent under 37 C.F.R. §1.324(a) together with the fee set forth in 37 C.F.R. §1. 20(b) and along with the appropriate Consent of the Inventors Statements under 37 C.F.R. §1.324(b)(2), Consent of the Assignees Statements under 37 C.F.R. §1.324(b)(3), and a Certificate of Correction together with the appropriate fee set forth in 37 C.F.R. §1. 20(a).

Respectfully submitted,

J. David Smith  
J. David Smith  
Attorney for the Applicant  
Reg. No. 39,839

KLAUBER & JACKSON  
411 Hackensack Avenue  
Hackensack, NJ 07601  
(201) 487-5800



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Michele Hofherr  
(Name of Person Mailing)

Michele Hofherr 1-28-10  
(Signature and Date)

PETITION FOR CORRECTION OF INVENTORSHIP  
UNDER 37 C.F.R. §1.324(a)

Mail STOP: PETITIONS  
COMMISSIONER OF PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Dear Sir:

Petitioner requests that the above-identified patent be amended under 37 C.F.R. §1.324(a) to delete two named inventors Melanie Ott and Eric Verdin. The correct inventors are Ming-Ming Zhou and Aneel K. Aggarwal. Melanie Ott and Eric Verdin assigned their ownership rights to The Regents of the University of California as evidenced by an Assignment recorded on December 30, 2002 at Reel 013617, Frame 0635, and The Regents of the University of California assigned its ownership rights to the J. David Gladstone Institutes as evidenced by an Assignment recorded on November 28, 2008 at Reel 022087, Frame 0210. As such, the assignee is, after the correction is completed, only The Mount Sinai School of Medicine.

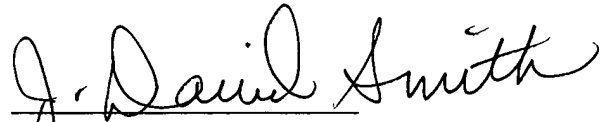
02/02/2010 MBLARC01 00000005 7589167  
100.00 OP  
130.00 OP  
02 FC:1464

Petitioner submits herewith the fee set forth in 37 C.F.R. §1. 20(b) along with the appropriate Consent of the Inventors Statements under 37 C.F.R. §1.324(b)(2), Consent of the Assignees Statements under 37 C.F.R. §1.324(b)(3), and a Certificate of Correction together with the appropriate fee set forth in 37 C.F.R. §1. 20(a). Petitioner inadvertently and without deceptive intent incorrectly indicated the named inventors. Petitioner requests that the file be forwarded to the Certificates of Correction Branch for issuing a Certificate of Correction once this Petition is granted. Two (2) copies of Form PTO-1050 containing the requested correction accompany this Petition. Return of one copy of the Form duly certified is requested.

The requisite petition fee pursuant to 37 C.F.R. §1.20(b) (\$130.00) is submitted herewith. Further, the Certificate of Correction fee pursuant to 37 C.F.R. §1.20(a) (\$100.00) is submitted herewith. It is believed that no additional fees are due in connection with this submission, but if any fees are due, please charge Deposit Account No. 11-1153.

Respectfully submitted,

KLAUBER & JACKSON

A handwritten signature in cursive script, reading "J. David Smith", written over a horizontal line.

J. David Smith  
Attorney for Applicant(s)  
Registration No. 39,839

KLAUBER & JACKSON  
411 Hackensack Avenue  
Hackensack NJ 07601  
Tel: (201) 487-5800  
Dated: January 28, 2010

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,589,167  
APPLICATION NO.: 09/784,553  
ISSUE DATE : September 15, 2009  
INVENTOR(S) : Ming-Ming Zhou and Aneel K. Aggarwal

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Assignee: Mt. Sinai School of Medicine

Inventors: Ming-Ming Zhou and Aneel K. Aggarwal

### MAILING ADDRESS OF SENDER (Please do not use customer number below):

Klauber & Jackson, LLC  
Continental Plaza, 411 Hackensack Avenue, 4th Floor  
Hackensack, NJ 07601

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

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PATENT NO. : 7,589,167  
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INVENTOR(S) : Ming-Ming Zhou and Aneel K. Aggarwal

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Assignee: Mt. Sinai School of Medicine

Inventors: Ming-Ming Zhou and Aneel K. Aggarwal

### MAILING ADDRESS OF SENDER (Please do not use customer number below):

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Continental Plaza, 411 Hackensack Avenue, 4th Floor  
Hackensack, NJ 07601

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Michele Hofherr  
(Name of Person Mailing.)

*Michele Hofherr 1-28-10*  
(Signature and Date)

CONSENT OF ASSIGNEE UNDER 37 C.F.R. §1.324(b)(3)

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Dear Sir:

The Mount Sinai School of Medicine, being an assignee from the inventors of the above-identified patent hereby consents to removing Melanie Ott and Eric Verdin as inventors of the above-identified patent. The Mount Sinai School of Medicine establishes its authority to act in this capacity pursuant to 37 C.F.R. §3.73(b) by referencing an Assignment from inventors Ming-Ming Zhou and Aneel K. Aggarwal to The Mount Sinai School of Medicine recorded on December 30, 2002 at Reel 013617, Frame 0737. I the undersigned state pursuant to 37 C.F.R. §3.73(b)(2)(i) that I am authorized to act on behalf of the assignee.

Date

*21 Dec 2009*  
~~2005~~

*W. Patrick McGrath*  
W. Patrick McGrath, Ph.D.  
Executive Director  
Mount Sinai School of Medicine



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Michele Hofherr  
(Name of Person Mailing.)

Michele Hofherr (-28-10)  
(Signature and Date)

CONSENT OF ASSIGNEE UNDER 37 C.F.R. §1.324(b)(3)

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Dear Sir:

The J. David Gladstone Institutes, being an assignee from the inventors of the above-identified patent hereby consents to removing Melanie Ott and Eric Verdin as inventors of the above-identified patent. The J. David Gladstone Institutes establishes its authority to act in this capacity pursuant to 37 C.F.R. §3.73(b) by referencing an Assignment from inventors Melanie Ott and Eric Verdin to The Regents of the University of California recorded on December 30, 2002 at Reel 013617, Frame 0635 and an Assignment from The Regents of the University of California to the J. David Gladstone Institutes recorded on November 28, 2008 at Reel 022087, Frame 0210. I the undersigned state pursuant to 37 C.F.R. §3.73(b)(2)(i) that I am authorized to act on behalf of the assignee.

Date Dec. 15, 2009

Robert W. Mahley  
Robert W. Mahley, M.D., Ph.D.  
President,  
J. David Gladstone Institutes



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Michele Hofherr  
(Name of Person Mailing.)

Michele Hofherr 1-28-10  
(Signature and Date)

CONSENT OF INVENTORS UNDER 37 C.F.R. §1.324(b)(2)

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Dear Sir:

We the undersigned, the presently named inventors of the above-identified patent, hereby consent to removing Melanie Ott and Eric Verdin as inventors of the above-identified patent. The error in naming Melanie Ott and Eric Verdin as inventors occurred inadvertently and without any deceptive intent.

Ming-Ming Zhou  
Ming-Ming Zhou

Dated: 12/21, 2009

Aneel K. Aggarwal  
Aneel K. Aggarwal

Dated: 12/21, 2009

Eric Verdin  
Eric Verdin

Dated: \_\_\_\_\_, 2009

Melanie Ott  
Melanie Ott

Dated: \_\_\_\_\_, 2009





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Michele Hofherr 1-28-10  
(Signature and Date)

CONSENT OF INVENTORS UNDER 37 C.F.R. §1.324(b)(2)

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Dear Sir:

We the undersigned, the presently named inventors of the above-identified patent, hereby consent to removing Melanie Ott and Eric Verdin as inventors of the above-identified patent. The error in naming Melanie Ott and Eric Verdin as inventors occurred inadvertently and without any deceptive intent.

\_\_\_\_\_  
Ming-Ming Zhou

Dated: \_\_\_\_\_, 2009

\_\_\_\_\_  
Aneel K. Aggarwal

Dated: \_\_\_\_\_, 2009

Eric Verdin  
Eric Verdin

Dated: 12/23/09, 2009

Melanie Ott  
Melanie Ott

Dated: 12/11/09, 2009